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PTO/SB/29 (1/98)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	SBL0011C2US
	First Named Inventor or Application Identifier	Arjun Chadrasekar Iyer
	Title	SQL Query Optimization for Upsert Operation
	Express Mail Label No.	EV304738276US

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450
<p>1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form - see page 2 of this form.</b> <small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. <b>Application:</b></p> <p><input checked="" type="checkbox"/> <b>Specification:</b> (preferred arrangement set forth below) Descriptive title of the Invention, Cross References to Related Applications, Reference to Microfiche Appendix, Background of the Invention, Brief Summary of the Invention, Brief Description of the Drawings, and Detailed Description (all totaling <u>52</u> pages) Appendix(ces) _____, &amp; _____ (____ pages)</p> <p><input checked="" type="checkbox"/> <b>Claim(s)</b> <u>42</u> pages</p> <p><input checked="" type="checkbox"/> <b>Abstract of the Disclosure</b> <u>1</u> page</p> <p>3. <input checked="" type="checkbox"/> <b>Drawing(s)</b> (35 USC 113) <span style="float: right;">[Total Sheets <u>21</u>]</span></p> <p>4. <b>Oath or Declaration</b> <input type="checkbox"/> unsigned <span style="float: right;">[Total Pages <u>2</u>]</span></p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> <b>Copy from prior application</b> (37 CFR §1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small></p> <p>c. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small></p> <p>5. <input checked="" type="checkbox"/> <b>Incorporation By Reference</b> (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>6. <input type="checkbox"/> <b>Microfiche Computer Program Appendix</b> consisting of _____ pages of microfiche containing _____ frames on each page in accompanying envelope.</p> <p>7. <b>Nucleotide and/or Amino Acid Sequence Submission</b> (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p>	
<b>ACCOMPANYING APPLICATION PARTS</b>	
<p>8. <input type="checkbox"/> <b>Assignment</b> (cover sheet &amp; documents) _____ pages</p> <p>9. <input type="checkbox"/> <b>37 CFR §3.73(b) Statement</b> <input type="checkbox"/> <b>Power of Attorney</b> (combined when there is an _____ with Patent Declaration Assignee) _____ above.)</p> <p>10. <input type="checkbox"/> <b>English Translation Document</b> (if applicable)</p> <p>11. <input type="checkbox"/> <b>Information Disclosure Statement (IDS) &amp;</b> <input type="checkbox"/> <b>PTO-1449</b> <input type="checkbox"/> _____ <b>Copies of IDS Citations/References</b></p> <p>12. <input checked="" type="checkbox"/> <b>Preliminary Amendment</b> <u>11</u> pages</p> <p>13. <input checked="" type="checkbox"/> <b>Return Receipt Postcard</b> (MPEP 503) (should be specifically itemized)</p> <p>14. <b>Small Entity Status</b></p> <p><input type="checkbox"/> <b>Small Entity Statement Enclosed</b> _____ pages</p> <p><input type="checkbox"/> <b>Statement filed in prior application; and status still proper and desired</b></p> <p><input type="checkbox"/> <b>Is no longer claimed.</b></p> <p>15. <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b> (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> <b>Other:</b> <input checked="" type="checkbox"/> <b>NonPublication Request</b></p>	
<p>17. If a <b>CONTINUING APPLICATION</b>, check appropriate box and supply the requisite information and a preliminary amendment:</p> <p><input checked="" type="checkbox"/> <b>Continuation</b> <input type="checkbox"/> <b>Divisional of prior application No.</b> <u>10/001,095</u> Filed on <u>November 15, 2001</u>, entitled: <u>"SQL Adapter Business Service"</u></p> <p><b>PRIOR APPLICATION INFORMATION:</b> Examiner: <u>Unknown</u> Group Art Unit: <u>2181</u></p>	
<b>18. CORRESPONDENCE ADDRESS</b>	
<input type="checkbox"/> <b>Customer Number or Bar Code Label</b> _____ or <input checked="" type="checkbox"/> <b>Correspondence address below</b>	
<b>Name</b>	<u>D'Ann Naylor Rifai</u> <span style="float: right;"><b>Reg. No.</b> <u>47,026</u></span>
<b>Attorneys for Applicant</b>	<u>CAMPBELL STEPHENSON ASCOLESE LLP</u>
<b>Address</b>	<u>4807 Spicewood Springs Road, Building 4, Suite 201</u>
<b>City</b>	<u>Austin</u> <span style="float: right;"><b>State</b> <u>Texas</u> <b>Zip Code</b> <u>78759</u></span>
<b>Country:</b>	<u>U.S.A.</u> <span style="float: right;"><b>Telephone</b> <u>512.439.5086</u> <b>Fax</b> <u>512.439.5099</u></span>

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**19. Fee calculations.**

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
29	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	9	x	\$18	=	\$162.00
5	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	2	x	\$86	=	\$172.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))				+	\$290.00	=	
						BASIC FEE (37 CFR 1.16(a))	=	\$770.00
						Total of above Calculations	=	\$1104.00
						Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).	=	
						TOTAL	=	\$1104.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **502306**:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)  
b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)  
c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: \_\_\_\_\_

**NOTE:**

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

**22. NEW CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label

☐ New correspondence address below

NAME	CAMPBELL STEPHENSON ASCOLESE LLP						
ADDRESS	4807 Spicewood Springs Road, Building 4, Suite 201						
CITY	Austin	STATE	Texas	ZIP CODE	78759		
COUNTRY	USA	TELEPHONE	(512) 439-5086	FAX	(512) 439-5099		

**23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

CAMPBELL STEPHENSON ASCOLESE LLP

4807 SPICEWOOD SPRINGS ROAD

BUILDING 4, SUITE 201

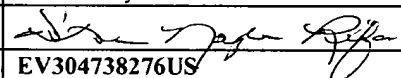
AUSTIN, TEXAS 78759

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Date: January 2, 2004

Name: D'Ann Naylor Rifai

Reg. No.: 47,026

Signature: 

Express Mail Label No. EV304738276US

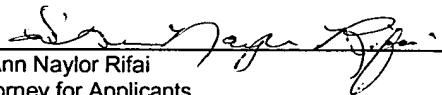
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MODIFIED PTO/SB/35 (11-00)

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	Inventors	Arjun Chadrasekar Iyer; Chandrakant Ramkrishna Bhavsar
	Title	SQL Query Optimization for Upsert Operation
	Atty Docket Number	SBL0011C2US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 2, 2004  
Date

  
D'Ann Naylor Rifai  
Attorney for Applicants  
Reg. No.: 47,026

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**